240576US2 Attorney Docket No. UTILITY First Inventor or Application Identifier Yuuichiro MURAHAMA PATENT APPLICATION SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME. TRANSMITTAL NONVOLATILE SEMICONDUCTOR MEMORY AND METHOD OF FABRICATING THE (Only for new nonprovisional applications under 37 CFR 1.53(b). SAME, AND ELECTRONIC APPARATUS INCLUDING NONVOLATILE SEMICONDUCTOR MEMORY Assignee Name: KABUSHIKI KAISHA TOSHIBA Assignee Address: 1-1, Shibaura 1-chome, Minato-ku, Tokyo, Japan Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Mail Stop Patent Application See MPEP chapter 600 concerning utility patent application contents Alexandria, Virginia 22313 Fee Transmittal Form (e.g. PTO/SB/17) ACCOMPANYING APPLICATION PARTS (Submit an original and a duplicate for fee processing) 7. Assignment Papers (cover sheet & document(s)) 2. Specification **Total Sheets** 34 8. Application Data Sheet. See 37 CFR 1.76 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney 3. Drawing(s) (35 U.S.C. 113) Total Sheets 18 10. English Translation Document (if applicable) Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations (1) Oath or Declaration Total Pages 12. Preliminary Amendment ☐ Newly executed (original or copy) White Advance Serial No. Postcard Copy from a prior application (37 C.F.R. §1.63(d)) Certified Copy of Priority Document(s) b. (for continuation/divisional with box 17 completed) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and Applicant claims small entity status. See 37 CFR 1.27 15. 16. D Other: CD-ROM or CD-R in duplicate, large table or Computer 5. Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission 6. 🗆 (if applicable, all necessary) ☐ Computer Readable Form (CRF) b. Specification or Sequence Listing on : i. CD-ROM or CD-R (2 copies); or ii. Paper Statements verifying identity of above copies 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application no .: Prior application information: Examiner: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is

considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation

□ Division

☐ Continuation-in-part (CIP)

of application Serial No.

Filed on

This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS



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Name:	C. Irvin McClelland	Registra	tion No.:	•		

Docket No. 240576US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yuuichiro MURAHAMA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME, NONVOLATILE

SEMICONDUCTOR MEMORY AND METHOD OF FABRICATING THE SAME, AND ELECTRONIC

APPARATUS INCLUDING NONVOLATILE SEMICONDUCTOR MEMORY

FEE TRANSMITTAL

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED				NUMBER EXTRA	RATE			CALCULATIONS	
TOTAL CLAIMS	33	-	20	=	13	х	\$18	=	\$234.00	
INDEPENDENT CLAIMS	7	-	3	=	4	х	\$84	=	\$336.00	
☐ MULTIPLE DEPENDENT CLAIMS (If applicable)						+	\$280	=	\$0.00	
LATE FILING OF DECLARATION						+	\$130	=	\$130.00	
BASIC FEE								\$750.00		
TOTAL OF ABOVE CALCULATIONS								\$1,450.00		
☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY							\$0.00			
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☐ RECORDATION OF ASSIGNMENT						+	\$40	=	\$0.00	
TOTAL							\$1,450.00			

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A duplicate copy of this sheet is enclosed.

- A check in the amount of \$1,450.00 to cover the filing fee is enclosed.
- The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Date:

7/22/03

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